

FORM PTO-1449

U.S. Dept. of Commerce  
Patent and Trademark OfficeAtty Docket No.  
P0150C1Serial No.  
07/205,419

## LIST OF DISCLOSURES CITED BY APPLICANT

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Cabilly et al.Filing Date  
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1	Abbas et al. <u>Cellular and Molecular Immunology</u> , Second edition pps. 38-39 (1994)
2	"Affidavit of Herbert L. Heyneker dated 03/20/97"
3	"Declaration of Allan E. Connor dated 17 May 1996, with enclosures" (1996)
4	"Declaration of Annette S. Parent, Ph.D. dated March 26, 1997" (1997)
5	"Declaration of Annette S. Parent, Ph.D. dated March 26, 1997, with attached Exhibit A" (1997)
6	"Declaration of Christoph H. Heusser dated 21 May 1996" (1996)
7	"Declaration of Dr. Samuel H. Joseloff dated March 19, 1997 (plus attachment)" (1997)
8	"Declaration of Jeffrey V. Ravetch dated 03/14/97"
9	"Declaration of Lars Abrahmsen dated 23 May 1996" (1996)
10	"Declaration of Leon R. Lyle dated 9 August 1994, with Exhibits A and B" (1994)
11	"Declaration of Marc J. Schulman dated 15 May 1996, with Exhibits A-D" (1996)
12	"Declaration of Robert G. Hamilton dated 16 May 1996" (1996)
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14	"Fundamental Immunology, 2nd Edition, William E. Paul, M.D., Editor" pps. 209 (1989)
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17	"Statutory Declaration of Geoffrey Varranton (unsigned, 1992) (with exhibits GTY1, GTY2, GTY4 and GTY5)" (1992)

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Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	no
<i>mu</i>	08235		UK	3/15/1989		X

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
<i>mu</i>	Genentech, Inc. v. Celltech Therapeutics, Ltd., No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
<i>mu</i>	Genentech, Inc.'s Notice of Mot. and Mot. Summ. Adjudication on Diligence (Jul. 28, 2000), Genentech, Inc. v. Celltech Therapeutics, Ltd., No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
<i>mu</i>	Celltech Therapeutics, Ltd.'s Opp'n to Genentech's Mot. Summ. Adjudication on Diligence (Jul. 28, 2000), Genentech, Inc. v. Celltech Therapeutics, Ltd., No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
<i>mu</i>	Celltech Therapeutics, Ltd.'s [Proposed] Order Denying Genentech's Mot. Summ. Adjudication on Diligence (Jul. 28, 2000), Genentech, Inc. v. Celltech Therapeutics, Ltd., No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
<i>mu</i>	Genentech, Inc.'s Reply Br. Supporting Mot. Summ. Adjudication on Diligence (Jul. 28, 2000), Genentech, Inc. v. Celltech Therapeutics, Ltd., No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
<i>mu</i>	Genentech, Inc.'s Separate Statement Facts Supporting Mot. Summ. Adjudication on Diligence (Jul. 28, 2000), Genentech, Inc. v. Celltech Therapeutics, Ltd., No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).

PHILLIP GANDER 10/5/01

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Substitute for form 1449A/PTO	ATTORNEY'S DKT No.	APPLICATION No.
	010057-003	07/205,419
	APPLICANT	
	CABILLY et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE	GROUP
	June 10, 1988	1644

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MG	Genentech, Inc.'s [Proposed] Order Granting Genentech's Mot. Summ. Adjudication Regarding Diligence (July 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
	Genentech, Inc.'s Notice of Mot. & Mot. Construe the Count (Jul. 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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	Genentech, Inc.'s [Proposed] Order Construing the Count (Jul. 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
	Celltech Therapeutics, Ltd.'s [Proposed] Order Denying Genentech's Mot. to Construe the Count (Jul. 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
	Genentech, Inc.'s Notice of Mot. and Mot. Summ. Adjudication that Genentech Conceived of the Invention Described by the Count before March 25, 1983 (Jul. 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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	Celltech Therapeutics, Ltd.'s Opp'n to Genentech's Mot. Summ. that Celltech is Not Entitled to a Priority Date Based on Its British Patent Application (Jul. 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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M	Genentech, Inc.'s Separate Statement of Undisputed Material Facts Supporting Mot. Summ. Adjudication that Celltech is Not Entitled to a Priority Date Based on Its British Patent Application (Jul. 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).

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Patent Counsel 10/5/01

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	APPLICANT	
	CABILLY et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE	GROUP
	June 10, 1988	1644

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<i>m</i>	Celltech Therapeutics, Ltd.'s [Proposed] Order Denying Genentech's Mot. for Summ. Adjudication that Celltech is not Entitled to Priority Based on its British Application (Jul. 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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	Dep. of L. Jeanne Perry, Vol. I (Nov. 14, 1999), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
<i>m</i>	Exs. 1 to 8 of Dep. of L. Jeanne Perry, Vol. I (Nov. 14, 1999), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).

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PHILIP GIMORE 10/5/01

Substitute for form 1449A/PTO	ATTORNEY'S DKT NO.	APPLICATION NO.
	010057-003	07/205,419
	APPLICANT	
	CABILLY et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE	GROUP
	June 10, 1988	644

NON PATENT LITERATURE DOCUMENTS	
116	Continued Dep. of L. Jeanne Perry, Vol. II (Dec. 13, 1999), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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	Dep. of Kate H. Murashige, Vol. I, Dep. Exs. 115-113 (Mar. 9, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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Philip Ganger 10/5/01

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## NON PATENT LITERATURE DOCUMENTS

<i>W</i>	Court's Order Granting (1) Genentech's Mot. to Admit Record from Before the Board of Patent Appeals and Interferences, and (2) Genentech's Req. for Judicial Notice; Denying Genentech's Mot. for Summ. Adjudication that (1) Celltech Is Not Entitled to a Priority Date Based On Its British Patent Application, (2) Genentech Conceived of the Invention Before March 25, 1983, and (3) Genentech Acted with Diligence; Deferring Genentech's Mot. to Construe the Count (Jul. 31, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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